Affirmatively Furthering Fair Housing (AFFH)

Data Documentation

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I. Overview

HUD has asked its program participants to take a more serious look at their fair housing context. The agency is taking a more active role as a dynamic partner by providing data and analytical tools to help grantees quantify and interpret particular fair housing dynamics. HUD provides a dynamic online mapping and data-generating tool for communities to aid in their completion of the Assessment of Fair Housing using the Assessment Tool. HUD accompanies this tool with guidance tailored to accommodate program particpiants of all capacity levels.

This document outlines the data, methods, and sources behind the tool that HUD provides. It describes demographic, socioeconomic, and housing characteristics, as well as access to opportunity assets through a series of Opportunity Indices.

This data package is not exhaustive and should not supplant local data or knowledge that is more robust. It represents a baseline effort to assemble consistent, nationally available data from a variety of sources compiled into one location.

II. Data Sources

Table 1 lists data sources, years, and the spatial scale used to populate the tables and maps in the AFFH Tool.

Table : Data Sources

| **Data Category** | **Variables** | **Geographic level or Primary Sampling Unit** | **Tables** | **Maps** | **Sources and years** |
| --- | --- | --- | --- | --- | --- |
| **Demographics** | Race/Ethnicity population in 2010 | Block-group | 1, 2, 4 | 1, 5-7, 9-14 | Decennial Census, 2010 |
| **Demographics** | Race/Ethnicity population in 2000 & 1990 | Tract | 2 | 2 | Brown Longitudinal Tract Database (LTDB) based on decennial census data, 2000 & 1990 |
| **Demographics** | Percent of race/ethnicity census tract  | Tract | 8 | na | Decennial Census, 2010 |
| **Demographics** | Limited English Proficiency (LEP) population; LEP languages; Foreign-born population; Foreign-born population place of birth (national origin)  | Tract | 1, 2, 4 | 3, 4, 8, 9-14 | American Community Survey (ACS), 2006-2010; Brown Longitudinal Tract Database (LTDB) based on decennial census data, 2000 & 1990  |
| **Demographics** | Disability Type population; Disabled population by Age | Tract | 1, 13, 14 | 15, 16 | American Community Survey (ACS), 2008-2012 |
| **Demographics** | Population by Age, Sex, Family Type | Tract | 1, 2, 4 | 9-14 | Decennial Census, 2010; Brown Longitudinal Tract Database (LTDB) based on decennial census data, 2000 & 1990  |
| **Socioeconomic** | Racially/Ethnically-Concentrated Areas of Poverty (R/ECAP) | Tract | 4, 7 | 1-16 | Decennial census (2010); American Community Survey (ACS), 2006-2010  |
| **Housing** | Population, housing units, occupied housing units, race/ethnicity, age, disability status, household type, and household size by Housing Type | Development; Tract | 5-7, 11, 15 | na | Inventory Management System (IMS)/ PIH Information Center (PIC), 2013; Tenant Rental Assistance Certification System (TRACS), 2013 |
| **Housing** | Low-Income Housing Tax Credit developments | Development | 8 | na | National Low-Income Housing Tax Credit (LIHTC) Database, 2013 |
| **Housing** | Households with Housing Problems; Households with Severe Housing Problems; Households with Income Less than 31% of Area Median Income (AMI); Households with Severe Housing Cost Burden; Households with Housing Problems by Race, Household Type, Household Size | Tract | 9, 10 | 7, 8 | Comprehensive Housing Affordability Strategy (CHAS), 2007-2011 |
| **Opportunity Indices** | Dissimilarity Index | Community Development Block Grant (CDBG); Core Based Statistical Area (CBSA) | 3 | na | Decennial Census, 2010; Brown Longitudinal Tract Database (LTDB) based on decennial census data, 2000 & 1990 |
| **Opportunity Indices** | Low Poverty Index, Labor Market Engagement Index  | Tract | 12 | 11, 13 | American Community Survey (ACS), 2006-2010  |
| **Opportunity Indices** | School Proficiency Index | Block-group | 12 | 9 | Great Schools, 2012; Common Core of Data (4th grade enrollment and school addresses), 2012; School Attendance Boundary Information System (SABINS), 2012 |
| **Opportunity Indices** | Low Transportation Cost Index; Transit Trips Index | Tract | 12 | 12,17 | Location Affordability Index (LAI) data, 2008-2012 |
| **Opportunity Indices** | Jobs Proximity Index | Block-group | 12 | 10 | Longitudinal Employer-Household Dynamics (LEHD), 2010 |
| **Opportunity Indices** | Environmental Health Index | Tract | 12 | 14 | National Air Toxics Assessment (NATA) data, 2005 |

III. Levels of Geography and Weights

The AFFH Tool includes data for all U.S. states, the District of Columbia, and Puerto Rico. Users may access data through the AFFH Tool at various spatial scales, including geo-boundaries of Census tracts, the Community Development Block Grant (CDBG) and the Core-based Statistical Area (CBSA). As shown in Table 1, most data in the AFFH Tool are at the Census tract or block-group levels. The selection of a spatial scale to use as the initial basis for each data element is primarily based on the lowest level in which HUD has faith in its accuracy. For example, data elements constructed from the American Community Survey (ACS) data are based on Census tract estimates rather than block-group estimates due to concerns about sampling errors.

Data displayed in the AFFH Tool map views are at the Census tract level. Data displayed in the report tables are aggregated from smaller geographic units (i.e. either the Census tract or block-group level) to the CDBG[[1]](#footnote-1) and CBSA levels. As shown in Table 1, the AFFH data are from multiple sources in various years. In order to compile them into one mapping tool database, data issued or released at different years need to be adjusted to the same year. The Census tract and block-group boundaries in the AFFH Tool are based on those released by Census in 2010. The Tool incorporates minor changes indicated in the ACS “Geography Release Notes” for 2011 and 2012 on the Census Bureau website[[2]](#footnote-2), resulting in boundaries and corresponding data adjusted to calendar year 2012. The CDBG boundaries are based on political jurisdiction boundaries for calendar year 2011. The CBSA boundaries are based on OMB 2013 definitions.

The CDBG level reflects the geographical boundaries for grantees that receive direct allocations of CDBG funds from HUD. CDBGs are not census-designated areas, which means that CDBG jurisdictional boundaries do not fall consistently along Census tracts or block-groups. A series of technical procedures were necessary to construct a crosswalk between census-designated areas and CDBGs. Census geographic identifiers at the summary level 070 (state-county-county subdivision-place/remainder) and summary level 080 (state-county-county subdivision-place/remainder-census tract) were matched to HUD CDGB geographic identifiers.

*Weights*

At the boundaries of CDBG jurisdictions, some Census tracts fell partially within the jurisdiction and partially outside of the jurisdiction. Data from these tracts were weighted by the share of the population within the CDBG boundary to approximate including only the portion of those tracts within the CDBG in aggregate figures reported at the CDBG level. In contrast, block groups were simply assigned to the CDBG that contained its centroid.

IV. Race/Ethnicity

Among other factors, the Fair Housing Act prohibits housing discrimination based on race. HUD offers information on both race and ethnicity. HUD provides data for non-Hispanic whites, considering Hispanics of any race as a separate race/ethnic category that can experience housing discrimination differently than other groups. Similarly, the data provided for the other race groups – black, Asian and Pacific Islander, Native American, and other – also exclude information for people who identify as having Hispanic ethnicity. Other race/ethnicity data are discussed in sections IX and XI.

Data Source: American Community Survey (ACS) 2006-2010; Decennial Census, 2010; Brown Longitudinal Tract Database (LTDB) based on decennial census data, 2000 & 1990

Related Template Tables/Maps: Table 1, 2, 4; Map 1, 2, 5-7, 9-14

V. National Origin and Limited English Proficiency (LEP)

The Fair Housing Act also prohibits housing discrimination based on national origin. The AFFH Tool provides data for four indicators of national origin. The first two are the 10 most common places of birth of the foreign-born population by jurisdiction and region and the number and percentage of the population that is foreign-born. The second two indicators are the ten most common languages spoken at home (for the population age 5 years and over) for those who speak English “less than ‘very well,’” and the number and percentage of the population who speak English “less than very well.”

Data on national origin and LEP originate from the 2006-2010 American Community Survey and Brown Longitudinal Tract Database (LTDB) based on decennial census data, 2000 and 1990. Counts of each place of birth by tract were aggregated to the jurisdiction and regional level separately. Within these geographies, the counts for places of birth were ranked and the ten most populous groups were determined and are presented.

The 10 most common places of birth and LEP languages are displayed in the Template Tables, while the five most common are displayed in the Template Maps. HUD limits the number of categories for the maps in order to better visualize the most significant groups. National origin and LEP data were missing for Puerto Rico.

Data Source: American Community Survey (ACS) 2006-2010; Brown Longitudinal Tract Database (LTDB) based on decennial census data, 2000 & 1990.

Related Template Tables/Maps: Table 1, 2, 4; Map 3, 4, 8, 9-14

VI. Disability Status and Type

The Fair Housing Act prohibits housing discrimination of any person based on disability. The AFFH Tool provides information on disability type, disability status by age group, and disability status by housing type. The disability type and disability status by age group measures are from the ACS, while the measure of people with disabilities by housing type is from the PIC/TRACS data (see section IX). The definition of “disability” used by the Census Bureau may not be comparable to reporting requirements under HUD programs.

The disability type categories are: hearing difficulty, vision difficulty, cognitive difficulty, ambulatory difficulty, self-care difficulty, and independent living difficulty. These categories are based on a new set of disability questions introduced into the ACS in 2008 and are not comparable to disability type figures in prior years.

Data Source: American Community Survey (ACS), 2008-2012; Inventory Management System (IMS)/ PIH Information Center (PIC), 2013; Tenant Rental Assistance Certification System (TRACS), 2013

Related Template Tables/Maps: Table 1, 13, 14; Map 15, 16

VII. Sex

The Fair Housing Act prohibits housing discrimination of any person based on sex. The AFFH Tool provides information on male/female status.

Data Source: Decennial Census, 2010; Brown Longitudinal Tract Database (LTDB) based on decennial census data, 2000 & 1990

Related Template Tables/Maps: Table 1, 2

VIII. Families with Children and Age

The Fair Housing Act prohibits housing discrimination of any person based on familial status. The AFFH Tool provides information on families with children. Specifically, familial status is measured as the number and percentage of all families (with two or more related people in the household) that are families with children under age 18. The Tool also provides data on age group (under 18, 18-64, and 65+).

Data Source: Decennial Census, 2010; Brown Longitudinal Tract Database (LTDB) based on decennial census data, 2000 & 1990

Related Template Tables/Maps: Table 1, 2, 4; Map 9-14

IX. Households in Publicly Supported Housing

The AFFH Tool provides data on households within the following housing categories: Public Housing, Section 8 Project-based Rental Assistance (PBRA), other assisted housing multifamily properties, Section 8 Housing Choice Voucher (HCV) Program, and Low-Income Housing Tax Credit (LIHTC). The “Other HUD Multifamily” properties include properties funded through the Supportive Housing for the Elderly (Section 202), Supportive Housing for Persons with Disabilities (Section 811), Rental Housing Assistance (Section 236), Rent Supplement (Rent Supp), Rental Assistance Payment (RAP), and Below Market Interest Rates (BMIR) programs.

The sources for data on households in these housing types are:

* HCV: census tract-level data extract from the Family Report Form HUD-50058 (PIC)
* Public Housing: development-level data extract from the Family Report Form HUD-50058 (PIC)
* PBRA and other multifamily properties: development-level data extract from HUD-50059 (TRACS)
* LIHTC: National Low-Income Housing Tax Credit (LIHTC) Database

The Tool reports data by housing type differently depending on the report table. These details are outlined below:

Tables 5, 6, 11, and 15 present data on households in Public Housing, PBRA, other publicly supported housing multifamily properties, and HCV. Data on developments with fewer than 11 households reported or with fewer than 50 percent of occupied units reported at the CDBG and CBSA aggregations were omitted to ensure confidentiality.

Table 5 presents the total number of units in housing publicly supported programs and their share of the total number of housing units within CDBG jurisdictions. The denominator used in Table 5 is the total number of housing units in the 2010 census block-group aggregated at the CDBG level.

Table 6 presents data on the race and ethnicity of households in housing publicly supported programs. The race/ethnicity categories are non-Hispanic white, non-Hispanic black, Hispanic, and non-Hispanic Asian or Pacific Islander. Information on the race and ethnicity of households with incomes at or below 30 percent of the area median income (AMI) is from the Comprehensive Housing Affordability Strategy (CHAS) database.

Table 7 reports the following data on households in housing publicly supported programs within the CDBG jurisdiction: race/ethnicity (percent white, black, Hispanic, and Asian or Pacific Islander), percent of households with at least one member with a disability, and percent of households where the head or spouse is age 62 or older. The data in this table are presented separately for properties/households located within and outside of racially/ethnically-concentrated areas of poverty (detailed below in section X) within the CDBG jurisdiction.

Table 8 presents data on the composition of households assisted through Public Housing, PBRA, and other HUD multifamily properties. Population characteristics – race/ethnicity (white, black, Hispanic, Asian), households with children, and poverty rate – of the census tracts that contain assisted housing are also presented. Although information on households in LIHTC properties is not displayed in Table 8, the data on geographical coordinates for properties were used to identify the list of census tracts presented. Data on properties with fewer than 11 households reported or with fewer than 50 percent of occupied units reported at the development and at the Census tract aggregation were omitted to ensure confidentiality.

Tables 7 and 8 include only developments with precise spatial information, such as a rooftop location or the ZIP+4 centroid associated with the address. Developments with less precise spatial information are omitted because they cannot reliably be located to the correct street block or the correct side of the street block.

In conjunction with Tables 7 and 8, Maps 5 and 6 also include only developments with precise spatial information. Over 96 percent of Public Housing, PBRA, and other HUD multifamily properties and 84 percent of LIHTC properties have sufficient geographical information to be included in the tables and maps.

Tables 11 and 15 present data on unit size (households in 0-1 bedroom units, 2 bedroom units, and 3 or more bedroom units), households with children, and households where at least one member has a disability.

Data Source: Inventory Management System (IMS)/PIH Information Center (PIC), 2013; Tenant Rental Assistance Certification System (TRACS), 2013; National Low-Income Housing Tax Credit (LIHTC) Database, 2013; Decennial Census, 2010; Comprehensive Housing Affordability Strategy (CHAS), 2007-2011

Related Template Tables/Maps: Table 5-8, 11, 15; Map 5, 6

X. R/ECAP

To assist communities in identifying racially or ethnically-concentrated areas of poverty (R/ECAPs), HUD has developed a census tract-based definition of R/ECAPs. The definition involves a racial/ethnic concentration threshold and a poverty test. The racial/ethnic concentration threshold is straightforward: R/ECAPs must have a non-white population of 50 percent or more. Regarding the poverty threshold, Wilson (1980) defines neighborhoods of “extreme poverty” as census tracts with 40 percent or more of individuals living at or below the poverty line. Because overall poverty levels are substantially lower in many parts of the country, HUD supplements this with an alternate criterion. Thus, a neighborhood can be a R/ECAP if it has a poverty rate that exceeds 40% or is three or more times the average tract poverty rate for the metropolitan/micropolitan area, whichever threshold is lower. Census tracts with this extreme poverty that satisfy the racial/ethnic concentration threshold are deemed R/ECAPs. This translates into the following equation:

$$R/ECAP\_{i}=yes . . . if . . . \left\{\begin{matrix}PovRate\_{i} >=[3\* μ\_{PovRate}^{cbsa}]\\or\\PovRate\_{i} >=0.4\end{matrix} \bigcup\_{}^{}\left[\frac{(Pop\_{i}-NHW\_{i})}{Pop\_{i}}\right]\right. >= 0.50$$

Where *i* represents census tracts, ($μ\_{PovRate}^{cbsa}$) is the metropolitan/micropolitan (CBSA) mean tract poverty rate, PovRate is the *i*th tract poverty rate, ($NHW\_{i}$) is the non-Hispanic white population in tract *i*, and Pop is the population in tract *i*.

While this definition of R/ECAP works well for tracts in CBSAs, place outside of these geographies are unlikely to have racial or ethnic concentrations as high as 50 percent. In these areas, the racial/ethnic concentration threshold is set at 20 percent.

Data Source: Decennial census (2010); American Community Survey (ACS), 2006-2010; Brown Longitudinal Tract Database (LTDB) based on decennial census data, 2000 & 1990

Related Template Tables/Maps: Table 4, 7; Map 1-16

References:

Wilson, William J. (1980). The Declining Significance of Race: Blacks and Changing American Institutions. Chicago: University of Chicago Press.

XI. Housing Problems and Disproportionate Housing Need

To assist communities in describing disproportionate housing need in their geography, the AFFH Tool provides data identifying instances where housing problems or severe housing problems exist. The Tool presents housing problems overall, as well as variations by race/ethnicity, household type and household size. The race/ethnicity categories presented are non-Hispanic white, non-Hispanic black, Hispanic, non-Hispanic Asian or Pacific Islander, non-Hispanic Native American, and non-Hispanic other. The household type and size categories presented are family households of less than five people, family households of five or more people, and non-family households of any size.

Information on housing problems is drawn from CHAS, which demonstrate the extent of housing problems and housing needs, particularly for low-income households. The CHAS data are produced via custom tabulations of ACS data by the U.S. Census Bureau.

The Tool provides data on the number and share of households with one of the following four housing problems:

1. Lacks complete kitchen facilities
2. Lacks complete plumbing facilities
3. More than one person per room
4. Cost Burden - monthly housing costs (including utilities) exceed 30% of monthly income

Additionally, the Tool provides data on the number and share of households with one or more of the following “severe” housing problems, defined as:

1. Lacks complete kitchen facilities
2. Lacks complete plumbing facilities
3. More than one person per room
4. Severe Cost Burden - monthly housing costs (including utilities) exceed 50% of monthly income

Program particpants should review these data to determine where disproportionate housing need may be found. For example, a sub-group, such as households of a particular racial/ethnic group or household size, may experience housing problems more frequently than the overall population.

Data Source: Comprehensive Housing Affordability Strategy (CHAS), 2007-2011

Related Template Tables/Maps: Table 9, 10; Map 7, 8

XII. Indices

HUD has developed a series of indices to help inform communities about segregation in their jurisdiction and region, as well as about disparatites in access to opportunity. A description of the methodology for each of the following indices may be found below:

1. Dissimilarity Index
2. Low Poverty Index
3. School Proficiency Index
4. Jobs Proximity Index
5. Labor Market Index
6. Low Transportation Cost Index
7. Transit Trips Index
8. Environmental Health Index

Table3 of the AFFH data tables provides values for the dissimilarity index. Table 12 of the AFFH data tables provides values for all the remaining indices.

To generate Table12, index values were calculated for each census tract. These tract values were averaged and then weighted based on the distribution of people of different races and ethnicities within the CDBG jurisdiction or CBSA to generate composite index values for each race and ethnicity. A similar process was applied to weight the data based on the distribution of people of different races and ethnicities who are living below the federal poverty line within the CDBG jurisdiction and CBSA. The population estimates are based on the 2010 Decennial Census at the census tract or block-group level, depending on the geographic level at which the index was originally calculated.

The indices from Table 12 are also used to populate maps generated by the AFFH data and mapping tool, showing the overall index values of census tracts juxtaposed against data on race/ethnicity, national origin, and family type.

The following details each of the eight indices used in the AFFH Template.

1. **Analyzing Segregation**
2. **Dissimilarity Index**

*Summary*

The dissimilarity index (or the index of dissimilarity) is a commonly used measure of community-level segregation. The dissimilarity index represents the extent to which the distribution of any two groups (frequently racial or ethnic groups) differs across census tracts or block-groups. It is calculated as:

$$D\_{j}^{WB}=100\* \frac{1}{2}\sum\_{i=1}^{N}\left|\frac{W\_{i}}{W\_{j}}-\frac{B\_{i}}{B\_{j}}\right| $$

Where *i* indexes census block-groups or tracts, *j* is the jth jurisdiction, *W* is group one and *B* is group two, and *N* is the number of block-groups or tracts *i* in jurisdiction j.

*Interpretation*

The values of the dissimilarity index range from 0 to 100, with a value of zero representing perfect integration between the racial groups in question, and a value of 100 representing perfect segregation between the racial groups. The following is one way to understand these values:

|  |  |  |
| --- | --- | --- |
| **Measure** | **Values** | **Description** |
| Dissimilarity Index | <40 | Low Segregation |
| [range 0-100] | 40-54 | Moderate Segregation |
|  | >55 | High Segregation |

Data Source: Decennial Census, 2010, 2000, 1990. Block-group level data were used for 2010, and census tracts were used for 2000 and 1990.

Related Template Tables/Maps: Table 3

References:

Massey, Douglas S. and Nancy A. Denton. 1988. The Dimensions of Residential Segregation. Social Forces, 67(2): 281-315.

1. **Analyzing Disparities in Access to Opportunity**

HUD has developed a two-stage process for analyzing disparities in access to opportunity. The first stage involves quantifying the degree to which a neighborhood offers features commonly viewed as important opportunity indicators such as education, employment, and transportation, among others. This stage uses metrics that rank each neighborhood along a set of key dimensions. In the second stage, HUD compares these rankings across people in particular racial and economic subgroups to characterize disparities in access to opportunity. HUD considers opportunity indicators a multi-dimensional notion. To focus the analysis, HUD developed methods to quantify a selected number of the important opportunity indicators in every neighborhood. These dimensions were selected because existing research suggests they have a bearing on a range of individual outcomes. HUD has selected five dimensions upon which to focus: poverty, education, employment, transportation, and health.

Invariably, these dimensions do not capture everything that is important to the well-being of individuals and families. In quantifying indicators of access to opportunity, HUD is not making a definitive assessment of one’s life chances based on geography. HUD is quantifying features of neighborhoods for the purpose of assessing whether significant disparities exist in the spatial access or exposure of particular groups to these quality of life factors. While these important dimensions capture a number of key concepts identified by research as important to quality of life, the measures are not without limitations. HUD constrained the scope of HUD-provided items to those that are closely linked to neighborhood geographies and could be measured consistently at small area levels across the country. For example, HUD's measure of school performance only reflects elementary school proficiency. It does not capture academic achievement for higher grades of schooling, which are important to a community's well-being, but likely less geographically tied to individual neighborhoods than elementary schools. Similarly, the health hazard measure only captures outdoor toxins, missing indoor exposures. The national-availability restriction is a necessity given that all HUD program participants must complete an Assessment of Fair Housing. HUD realizes that there are other assets that are relevant, such as neighborhood crime or housing unit lead and radon levels. However, these lack consistent neighborhood-level data across all program participant geographies. As a consequence, HUD encourages program participants to supplement the data it provides with robust locally-available data on these other assets so that the analysis is as all-encompassing as possible. The five dimensions are operationalized by seven indices, described below.

1. **Low Poverty Index**

*Summary*

The low poverty index captures poverty in a given neighborhood. The index is based on the poverty rate (*pv*).

$$Pov\_{i}=\left[\left(\frac{pv\_{i}-μ\_{pv}}{σ\_{pv}}\right)\*-1\right]$$

Where the mean ($μ\_{pv}$) and standard error ($σ\_{pv}$) are estimated over the national distribution.

The poverty rate is determined at the census tract level.

*Interpretation*

Values are inverted and percentile ranked nationally. The resulting values range from 0 to 100. The higher the score, the less exposure to poverty in a neighborhood.

Data Source: American Community Survey, 2006-2010

Related Template Tables/Maps: Table 12; Map 13

1. **School Proficiency Index**

*Summary*

The school proficiency index uses school-level data on the performance of 4th grade students on state exams to describe which neighborhoods have high-performing elementary schools nearby and which are near lower performing elementary schools. The school proficiency index is a function of the percent of 4th grade students proficient in reading (*r*) and math (*m*) on state test scores for up to three schools (i=1,2,3) within 1.5 miles of the block-group centroid. *S* denotes 4th grade school enrollment:

$$School\_{i}=\sum\_{n=i}^{3}\left(\frac{s\_{i}}{∑^{n}s\_{i}}\right)\* \left[\frac{1}{2}\* r\_{i}+ \frac{1}{2}\* m\_{i}\right]$$

Elementary schools are linked with block-groups based on a geographic mapping of attendance area zones from School Attendance Boundary Information System (SABINS), where available, or within-district proximity matches of up to the three-closest schools within 1.5 miles. In cases with multiple school matches, an enrollment-weighted score is calculated following the equation above.

*Interpretation*

Values are percentile ranked and range from 0 to 100. The higher the score, the higher the school system quality is in a neighborhood.

Data Source: Great Schools (proficiency data, 2011-12 or more recent); Common Core of Data (school addresses and enrollment, 2011-12); SABINS (attendance boundaries, 2011-12).

Related Template Tables/Maps: Table 12; Map 9

1. **Jobs Proximity Index**

*Summary*

The jobs proximity index quantifies the accessibility of a given residential neighborhood as a function of its distance to all job locations within a CBSA, with larger employment centers weighted more heavily. Specifically, a gravity model is used, where the accessibility (*Ai*) of a given residential block-group is a summary description of the distance to all job locations, with the distance from any single job location positively weighted by the size of employment (job opportunities) at that location and inversely weighted by the labor supply (competition) to that location. More formally, the model has the following specification:

$$A\_{i}= \frac{\sum\_{j=1}^{n}\frac{E\_{j }}{d\_{i,j}^{2}}}{\sum\_{j=1}^{n}\frac{L\_{j }}{d\_{i,j}^{2}}}$$

Where *i* indexes a given residential block-group, and *j* indexes all *n* block groups within a CBSA. Distance, *d*, is measured as “as the crow flies” between block-groups *i* and *j,* with distances less than 1 mile set equal to 1. *E* represents the number of jobs in block-group *j,* and *L* is the number of workers in block-group *j*.

The Longitudinal Employer-Household Dynamics (LEHD) has missing jobs data in all of Puerto Rico and a concentration of missing records in Massachusetts.

*Interpretation*

Values are percentile ranked with values ranging from 0 to 100. The higher the index value, the better the access to employment opportunities for residents in a neighborhood.

Data Source:  Longitudinal Employer-Household Dynamics (LEHD) data, 2010

Related Template Tables/Maps: Table 12; Map 10

**5. Labor Market Engagement Index**

*Summary*

The labor market engagement index provides a summary description of the relative intensity of labor market engagement and human capital in a neighborhood. This is based upon the level of employment, labor force participation, and educational attainment in a census tract (*i*). Formally, the labor market index is a linear combination of three standardized vectors: unemployment rate (*u*), labor-force participation rate (*l*), and percent with a bachelor’s degree or higher (*b*), using the following formula:

$$LBM\_{i}=\left[\left(\frac{μ\_{i}-μ\_{u}}{σ\_{u}}\right)\*-1\right]+\left(\frac{l\_{i}-μ\_{i}}{σ\_{l}}\right)+\left(\frac{b\_{i}-μ\_{b}}{σ\_{b}}\right)$$

Where the means ($μ\_{u}$, $μ\_{l}$, $μ\_{b}$) and standard errors ($σ\_{u}$, $σ\_{l}$, $σ\_{b}$) are estimated over the national distribution. Also, the value for unemployment rate is inverted.

*Interpretation*

Values are percentile ranked nationally and range from 0 to 100. The higher the score, the higher the labor force participation and human capital in a neighborhood.

Data Source: American Community Survey, 2006-2010

Related Template Tables/Maps: Table 12; Map 11

**6. Low Transportation Cost Index**

*Summary*

This index is based on estimates of transportation costs for a family that meets the following description: a 3-person single-parent family with income at 50% of the median income for renters for the region (i.e. CBSA). The estimates come from the Location Affordability Index (LAI). The data used in the AFFH Tool correspond to those for household type 6 (hh\_type6\_) as noted in the LAI data dictionary. More specifically, among this household type, we model transportation costs as a percent of income for renters (t\_rent). Neighborhoods are defined as census tracts. The LAI data do not contain transportation cost information for Puerto Rico.

*Interpretation*

Values are inverted and percentile ranked nationally, with values ranging from 0 to 100. The higher the index, the lower the cost of transportation in that neighborhood. Transportation costs may be low for a range of reasons, including greater access to public transportation and the density of homes, services, and jobs in the neighborhood and surrounding community.

Data Source: Location Affordability Index (LAI) data, 2008-2012

Related Template Tables/Maps: Table 12; Map 17

References:

www.locationaffordability.info

http://lai.locationaffordability.info//lai\_data\_dictionary.pdf

**7. Transit Trips Index**

*Summary*

This index is based on estimates of transit trips taken by a family that meets the following description: a 3-person single-parent family with income at 50% of the median income for renters for the region (i.e. the Core-Based Statistical Area (CBSA)). The estimates come from the Location Affordability Index (LAI). The data used in the AFFH tool correspond to those for household type 6 (hh\_type6\_) as noted in the LAI data dictionary. More specifically, among this household type, we model annual transit trips for renters (transit\_trips\_rent). Neighborhoods are defined as census tracts. The LAI has missing transit trip information for Puerto Rico.

*Interpretation*

Values are percentile ranked nationally, with values ranging from 0 to 100. The higher the transit trips index, the more likely residents in that neighborhood utilize public transit. The index controls for income such that a higher index value will often reflect better access to public transit.

Data Source: Location Affordability Index (LAI) data, 2008-2012

Related Template Tables/Maps: Table 12; Map 12

References:

www.locationaffordability.info

http://lai.locationaffordability.info//lai\_data\_dictionary.pdf

**8. Environmental Health Index**

*Summary*

The environmental health index summarizes potential exposure to harmful toxins at a neighborhood level. The index is a linear combination of standardized EPA estimates of air quality carcinogenic (*c*), respiratory (*r*) and neurological (*n*) hazards with *i* indexing census tracts.

$$EnvHealth\_{i}=\left[\left(\frac{c\_{i}-μ\_{c}}{σ\_{c}}\right)+\left(\frac{r\_{i}-μ\_{r}}{σ\_{r}}\right)+\left(\frac{n\_{i}-μ\_{n}}{σ\_{n}}\right)\right]\* -1$$

Where means ($μ\_{c}$, $μ\_{r}$, $μ\_{n}$) and standard errors ($σ\_{c}$, $σ\_{r}$, $σ\_{n}$) are estimated over the national distribution.

*Interpretation*

Values are inverted and then percentile ranked nationally. Values range from 0 to 100. The higher the index value, the less exposure to toxins harmful to human health. Therefore, the higher the value, the better the environmental quality of a neighborhood, where a neighborhood is a census block-group.

Data Source: National Air Toxics Assessment (NATA) data, 2005

Related Template Tables/Maps: Table 12; Map 14

References:

http://www.epa.gov/ttn/atw/natamain/

1. **Computing Indices by Protected Class**

The AFFH Tool provides index values documenting the extent to which members of different racial or ethnic groups have access to particular opportunity indicators. The Tool provides a weighted average for a given characteristic. The generic access for subgroup *M* to asset dimension *R* in jurisdiction *j* is calculated as:

$$Index\_{M}^{R}=\sum\_{i}^{N}\frac{M\_{i}}{M\_{j}} \*R\_{i}$$

Where $i$ indicates Census tracts in jurisdiction *j* for subgroup *M* to dimension *R*. *N* is the total number of Census tracts in jurisdiction j.

It is useful to provide an example of this in practice (Table 2). Consider Jurisdiction X with a total of three neighborhoods (A, B, and C). Each neighborhood has an index score representing the prevalence of poverty within that neighborhood (Column (1), with higher values representing lower levels of poverty. To compute the index value for a particular subpopulation, such as white or black individuals, the values are weighted based on the distribution of that subpopulation across the three neighborhoods. For example, 40% of the jurisdiction’s white population lives in neighborhood A, so the index value for neighborhood A represents 40% of the composite index value for the white population in the jurisdiction. The values for neighborhoods B and C are weighted at 40% and 20% respectively, based on the share of white individuals living in those neighborhoods, leading to a final weighted low poverty index for whites in the jurisdiction of 56.

Table 2. Example of Weighting of Low Poverty Index by Race in a Hypothetical Jurisdiction

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Dimension** | **White** | **Black** |
| **Neighborhood** | **Low Poverty Index** | **white pop** | **%white of total pop** | **Index for whites[(1)\*(3)]** | **black pop** | **%black of total pop** | **Index for blacks[(1)\*(6)]** |
|  | (1) | (2) | (3) | (4) | (5) | (6) | (7) |
| A | 80 | 400 | 40% | 32 | 100 | 20% | 16 |
| B | 50 | 400 | 40% | 20 | 150 | 30% | 15 |
| C | 20 | 200 | 20% | 4 | 250 | 50% | 10 |
| Total |   | 1000 | 100% | **56** | 500 | 100% | **41** |

This exercise can be repeated for each racial/ethnic group. For example, the low poverty index among blacks in Jurisdiction X is 41. Using these indices, it is possible to identify differences in access to opportunity across protected classes.

To account for differences in household income across groups, the AFFH Tool also provides separate index values for persons below the federal poverty line, again breaking out values by racial or ethnic group. This helps program participants understand whether there are meaningful differences in access to opportunity indicators across groups that cannot be explained by differences in income. These index values by protected class among the total and populations below the federal poverty line are available in Table12

1. CDBG jurisdictions in the AFFH Tool exclude non-entitlement jurisdictions. [↑](#footnote-ref-1)
2. Tract changes between 2010 and 2011 are here: <http://www.census.gov/acs/www/data_documentation/2011_geography_release_notes/>; Tract changes between 2011 and 2012 are here: <http://www.census.gov/acs/www/data_documentation/2012_geography_release_notes/> [↑](#footnote-ref-2)